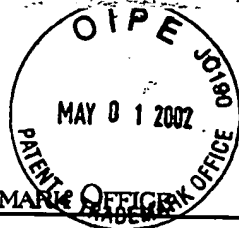




UNITED STATES PATENT AND TRADEMARK OFFICE



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Page 1 of 13

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/083,038	02/26/2002	Steven M. Ayres	90099008

CONFIRMATION NO. 3133

FORMALITIES LETTER



\*OC000000007753713\*

Ephraim Starr, General Counsel  
Honeywell International Inc.  
Garrett Engine Boosting Systems  
23326 Hawthorne Boulevard, Suite #2001  
Torrance, CA 90505

Date Mailed: 04/01/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

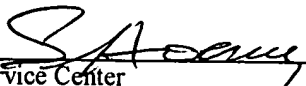
FILED UNDER 37 CFR 1.53(b)

### Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

05/02/2002 \*PER\* 10000076 011101 10045008

01 FD:195 130.00 IN



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#3

In re Application of: Ayres et al.

) Docket No.: 90099008

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Serial No. 10/083,038

) Group Art Unit: 3743

Title: Heat Exchanger With Core  
And Support Structure Coupling For  
Reduced Thermal Stress

) Examiner: To be assigned

Filed: February 26, 2002

Torrance, California 90505

4.19.02

RESPONSE TO NOTICE TO FILE MISSING PARTS  
OF NONPROVISIONAL APPLICATION  
FILING DATE GRANTED

Hon. Commissioner for Patents  
Washington, D.C. 20231

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Sir:

A copy of the NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION, dated April 1, 2002, the executed declaration and executed Assignment are attached hereto.

Please charge the \$130.00 surcharge under 37 C.F.R. §1.16(l) for filing the executed Declaration and Assignment later than the filing date of the above-identified patent application to Deposit Account No. 01-1125.

This letter is attached in triplicate.

Respectfully submitted,

Honeywell International Inc.  
Garrett Engine Boosting Systems  
23326 Hawthorne Boulevard, Suite 200  
Torrance, California 90505

By: 

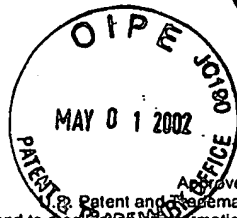
Ephraim Starr  
Registration No.: 41,325  
(310) 791-9120

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PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

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<b>TRANSMITTAL FORM</b>  (to be used for all correspondence after initial filing)	Application Number	10/083,038
	Filing Date	February 26, 2002
	First Named Inventor	Ayres et al.
	Group Art Unit	3743
	Examiner Name	To Be Assigned
Total Number of Pages in This Submission	Attorney Docket Number	90099008

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input checked="" type="checkbox"/> Response to Missing Parts/ Incomplete Application <input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):  Return Postcard
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Ephraim Starr, General Counsel
Signature	
Date	April 18, 2002

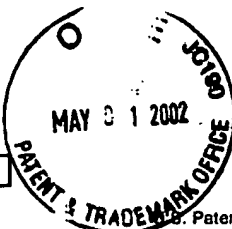
CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: 4.19.02			
Typed or printed name	Sheri M. Wilson		
Signature		Date	4.19.02

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# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	10/083,038
Filing Date	February 26, 2002
First Named Inventor	Ayres et al.
Group Art Unit	3743
Examiner Name	To Be Assigned
Attorney Docket Number	90099008

Total Number of Pages in This Submission 13

## ENCLOSURES (check all that apply)

- |   |   |  |
|---|---|--|
| <input checked="" type="checkbox"/> Fee Transmittal Form<br><input type="checkbox"/> Fee Attached<br><input type="checkbox"/> Amendment / Reply<br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declaration(s)<br><input type="checkbox"/> Extension of Time Request<br><input type="checkbox"/> Express Abandonment Request<br><input type="checkbox"/> Information Disclosure Statement<br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><input checked="" type="checkbox"/> Response to Missing Parts/<br>Incomplete Application<br><input checked="" type="checkbox"/> Response to Missing Parts<br>under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Assignment Papers<br>(for an Application)<br><input type="checkbox"/> Drawing(s)<br><input type="checkbox"/> Licensing-related Papers<br><input type="checkbox"/> Petition<br><input type="checkbox"/> Petition to Convert to a<br>Provisional Application<br><input type="checkbox"/> Power of Attorney, Revocation<br>Change of Correspondence<br>Address<br><input type="checkbox"/> Terminal Disclaimer<br><input type="checkbox"/> Request for Refund<br><input type="checkbox"/> CD, Number of CD(s) _____ | <input type="checkbox"/> After Allowance Communication<br>to Group<br><input type="checkbox"/> Appeal Communication to Board<br>of Appeals and Interferences<br><input type="checkbox"/> Appeal Communication to Group<br>(Appeal Notice, Brief, Reply Brief)<br><input type="checkbox"/> Proprietary Information<br><input type="checkbox"/> Status Letter<br><input checked="" type="checkbox"/> Other Enclosure(s) (please<br>identify below):<br><br>Return Postcard |
|---|---|--|

Remarks

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Ephraim Starr, General Counsel
Signature	
Date	April 18, 2002

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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In re Application of: Ayres et al.

) Docket No.: 90099008

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Serial No. 10/083,038

) Group Art Unit: 3743

Title: Heat Exchanger With Core  
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Sir:

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This letter is attached in triplicate.

Respectfully submitted,

Honeywell International Inc.  
Garrett Engine Boosting Systems  
23326 Hawthorne Boulevard, Suite 200  
Torrance, California 90505

By: 

Ephraim Starr  
Registration No.: 41,325  
(310) 791-9120

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